How to get started:

An EGS must register with Duquesne Light Company (DLCo) according to Rule 3 of the Electric Generation Supplier Coordination Tariff: https://duquesnelight.com/working-with-us/electric-generation-suppliers in the Tariff section.

Registration for Coordination Services:

Below is the process for registering as a new Electric Generation Supplier (EGS) with Duquesne Light Company.
Please submit your application at least 30 days before EDI testing. The EDI Supplier Test Schedule is available on our website: https://duquesnelight.com/working-with-us/electric-generation-suppliers in the Helpful Links section.

Registration with Duquesne Light Company (including EDI testing) can only begin after you have received your Electric Generation Supplier (EGS) license from the Pennsylvania Public Utility Commission. Once you have received your license, you may register for coordination services under Duquesne's Electric Generation Supplier Coordination Tariff. When you are ready, please follow the steps below to start the application process:

Send a formal letter by mail on the supplier's letterhead stating the supplier's interest in participating in the Duquesne Light Company Retail Choice Program, along with the following **required** items:

1. Copy of the Pennsylvania Public Utility (PUC) Electric Generation Supplier (EGS) License
    - ***a.*** *In accordance with the Pennsylvania Public Utility Commission Electric Generation Supplier License, please be sure your company is licensed to serve in Duquesne Light Company's territory.*
    - *b. In accordance with the Pennsylvania Public Utility Commission Electric Generation Supplier License, please be sure your company is licensed to serve the customer classes selected.*
2. Proof of PJM membership
    a. PJM Short Name
3. Pennsylvania Sales Tax ID
4. Federal Tax ID
5. DUNS Number
6. Form W-9

The application should be mailed to:

Duquesne Light Company
Attn: Markia Banks
100 South Commons
Suite 118 – Mail Drop OGC & Energy Policy OGC-L1
Pittsburgh, PA 15212

You may also scan the information and email it to egsregistration@duqlight.com.

Once the written request is received, the required contracts, service agreements, and other documentation will be emailed to the EGS.
The EGS should also provide a packet of financial information for Duquesne Light Company (DLC) to begin a credit evaluation.

Guidelines for supplying financial information:

Initial Credit Evaluation:

- ∞ Provide S&P Credit Rating and Date of most recent rating
- ∞ Provide Moody's Credit Rating and Date of most recent rating
- ∞ Other Rating Agency Reports – Provide Credit Rating and Date of most recent rating for any other nationally known rating agencies.
- ∞ Financial Statements and Related Information – Each EGS must submit financial statements for the most recent fiscal quarter and independently audited financial statements for the most recent three fiscal years or the period of existence of the EGS, if shorter.

The information should include, but not be limited to, the following:

If publicly traded:

- ∞ Annual and quarterly reports on Form 10-K and Form 10-Q, respectively
- ∞ Forms 8-K reports, if any.

If privately held:

- ∞ Management's Discussion and Analysis
- ∞ Report of Independent Auditors
- ∞ Financial Statements, including:
- ∞ Balance Sheet
- ∞ Income Statement
- ∞ Statement of Cash Flows
- ∞ Statement of Stockholder's Equity
- ∞ Notes to Financial Statements

References:

- ∞ Each EGS is to provide at least one (1) bank and three (3) utilities. If the EGS does not have the required three utility references, then trade payable vendor references may be substituted subject to authorization by DLC.
- ∞ Litigation, Commitments, and Contingencies – Each EGS is also required to provide information as to any known Material litigation, commitments, or contingencies, as well as any prior bankruptcy declarations or Material defalcations by the EGS or its predecessors, subsidiaries, or Affiliates if any.
    - These disclosures shall be made upon application, upon initiation or change, and at least annually thereafter, or as requested by DLC.
    - Other Disclosures – Each EGS is required to disclose any Affiliates that are currently active EGS in the Commonwealth of Pennsylvania or are applying as an EGS within the Commonwealth of Pennsylvania. Each EGS is also required to disclose the existence of any ongoing investigations by the Securities and Exchange Commission (SEC), Federal

Energy Regulatory Commission (FERC), public utility commissions, or any other governing, regulatory, or standards body.
    - These disclosures shall be made upon application, upon initiation or change, and at least annually after that or as requested by DLC.

- Prior Credit History with DLC – In addition to the above, DLC will consider any previous trading history between the EGS and DLC when evaluating creditworthiness.

Duquesne Light Company also reserves the right to request additional information to complete its review and verify that your company meets the requirements of Duquesne's EGS Coordination Tariff.

For your reference, Duquesne's complete EGS Coordination Tariff, along with EDI testing dates, may be found at Electric Generation Suppliers (duquesnelight.com).

If you have additional questions about the registration process, please email inquiries to egsregistration@duqlight.com.